

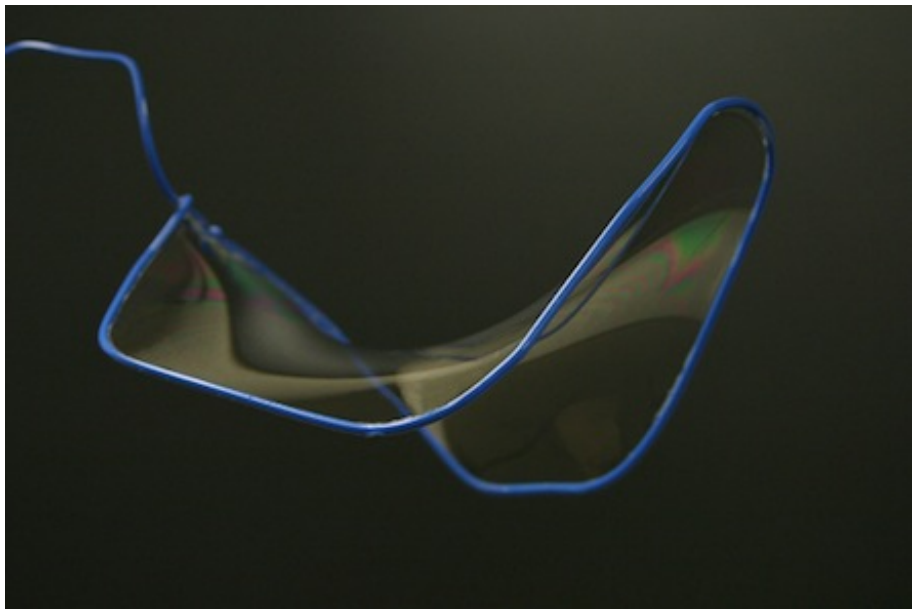
# Knots in $\mathbb{S}^3$ and minimal surfaces in $\mathbb{B}^4$

joint work with Marc Soret

Marina Ville

Université de Tours, France

Institut Henri Poincaré, June 22th, 2018



Paul Laurain, Image des maths

# Minimal surfaces in $\mathbb{R}^4$

critical point for the area in any deformation with compact support



$$\frac{d(\text{area}(S_t))}{dt} \Big|_{t=0} = 0$$

# Minimal surfaces in $\mathbb{R}^4$

critical point for the area in any deformation with compact support



Harmonic map

$$\mathbb{D} \longrightarrow \mathbb{C}^2 = \mathbb{R}^4$$

$$z \mapsto (e(z) + \bar{f}(z), g(z) + \bar{h}(z))$$

$e, f, g, h$  holomorphic

$$\left. \frac{d(\text{area}(S_t))}{dt} \right|_{t=0} = 0$$

# Minimal surfaces in $\mathbb{R}^4$

critical point for the area in any deformation with compact support



Harmonic map

$$\mathbb{D} \longrightarrow \mathbb{C}^2 = \mathbb{R}^4$$

$$z \mapsto (e(z) + \bar{f}(z), g(z) + \bar{h}(z))$$

$e, f, g, h$  holomorphic

Conformality condition

$$e'f' + g'h' = 0$$

$$\left. \frac{d(\text{area}(S_t))}{dt} \right|_{t=0} = 0$$

# Minimal surfaces in $\mathbb{R}^4$

critical point for the area in any deformation with compact support



Harmonic map

$$\mathbb{D} \longrightarrow \mathbb{C}^2 = \mathbb{R}^4$$

$$z \mapsto (e(z) + \bar{f}(z), g(z) + \bar{h}(z))$$

$e, f, g, h$  holomorphic

Conformality condition

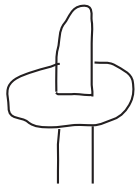
$$e'f' + g'h' = 0$$

$$\left. \frac{d(\text{area}(S_t))}{dt} \right|_{t=0} = 0$$

EXAMPLE. Complex curves in  $\mathbb{C}^2 = \mathbb{R}^4$ .

# Ribbon knots

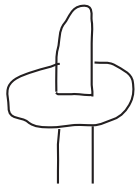
$K$  in  $\mathbb{R}^3$  (or  $S^3$ ) is ribbon if  $K$   
bounds a disk with



ribbon singularities

# Ribbon knots

$K$  in  $\mathbb{R}^3$  (or  $\mathbb{S}^3$ ) is ribbon if  $K$  bounds a disk with



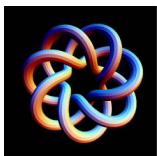
ribbon singularities

TH (Hass, 1983): a knot in  $\mathbb{S}^3$  is ribbon iff it bounds an **embedded minimal disk**  $\Delta$  in  $\mathbb{B}^4$

REMARK. Harmonic parametrization  $\implies$  the restriction of  $d(0, \cdot)$  to  $\Delta$  has no local maxima.



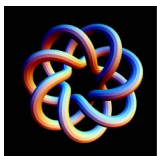
# Torus knots



$K(3, 7)$  torus knot

In  $\mathbb{R}^3$ , the parameter goes  $N$  times around a circle  $C$  in a vertical plane while  $C$  rotates  $p$  times around  $Oz$ .

# Torus knots



$K(3, 7)$  torus knot

In  $\mathbb{R}^3$ , the parameter goes  $N$  times around a circle  $C$  in a vertical plane while  $C$  rotates  $p$  times around  $Oz$ . In  $\mathbb{S}^3$ ,

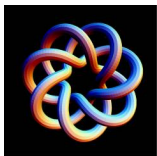
$$K(N, p) : \mathbb{S}^1 \longrightarrow \mathbb{S}^3$$

$$e^{i\theta} \mapsto \left( \frac{1}{\sqrt{2}} e^{Ni\theta}, \frac{1}{\sqrt{2}} e^{pi\theta} \right)$$

inside the Clifford torus

# Torus knots

## Algebraic curves



$$C_{N,p} = \{(z_1, z_2) \mid z_1^p = z_2^N\}$$

$K(3, 7)$  torus knot

In  $\mathbb{R}^3$ , the parameter goes  $N$  times around a circle  $C$  in a vertical plane while  $C$  rotates  $p$  times around  $Oz$ . In  $\mathbb{S}^3$ ,

$$K(N, p) : \mathbb{S}^1 \longrightarrow \mathbb{S}^3$$

$$e^{i\theta} \mapsto \left( \frac{1}{\sqrt{2}} e^{Ni\theta}, \frac{1}{\sqrt{2}} e^{pi\theta} \right)$$

inside the Clifford torus

# Torus knots

## Algebraic curves



$K(3, 7)$  torus knot

In  $\mathbb{R}^3$ , the parameter goes  $N$  times around a circle  $C$  in a vertical plane while  $C$  rotates  $p$  times around  $Oz$ . In  $\mathbb{S}^3$ ,

$$K(N, p) : \mathbb{S}^1 \longrightarrow \mathbb{S}^3$$

$$e^{i\theta} \mapsto \left( \frac{1}{\sqrt{2}} e^{Ni\theta}, \frac{1}{\sqrt{2}} e^{pi\theta} \right)$$

inside the Clifford torus

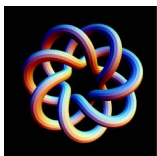
$$C_{N,p} = \{(z_1, z_2) \mid z_1^p = z_2^N\}$$

parametrized near  $(0, 0)$  by

$$z \mapsto (z^N, z^p)$$

# Torus knots

## Algebraic curves



$K(3, 7)$  torus knot

In  $\mathbb{R}^3$ , the parameter goes  $N$  times around a circle  $C$  in a vertical plane while  $C$  rotates  $p$  times around  $Oz$ . In  $\mathbb{S}^3$ ,

$$K(N, p) : \mathbb{S}^1 \longrightarrow \mathbb{S}^3$$

$$e^{i\theta} \mapsto \left( \frac{1}{\sqrt{2}} e^{Ni\theta}, \frac{1}{\sqrt{2}} e^{pi\theta} \right)$$

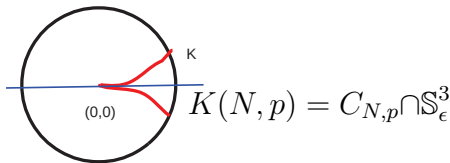
inside the Clifford torus

$$C_{N,p} = \{ (z_1, z_2) \mid z_1^p = z_2^N \}$$

parametrized near  $(0, 0)$  by

$$z \mapsto (z^N, z^p)$$

ex: **cusplike**  $z_1^3 = z_2^2$  (drawn in  $\mathbb{R}^2$ !)



NB.  $(0, 0)$  is a **branch point**;  $C_{N,p}$  is not a smooth near  $(0, 0)$  but it has a **tangent plane**

# Minimal knots

$F : \mathbb{D} \longrightarrow \mathbb{R}^4$  minimal

# Minimal knots

$$F : \mathbb{D} \longrightarrow \mathbb{R}^4 \text{ minimal}$$

If 0 is a critical point of  $F$ , it is a **branch point** (lowest order term is conformal): in a neighbourhood of  $F$ ,

$$F(z) = (z^N + o(z^N), o(z^N))$$

# Minimal knots

$$F : \mathbb{D} \longrightarrow \mathbb{R}^4 \text{ minimal}$$

If 0 is a critical point of  $F$ , it is a **branch point** (lowest order term is conformal): in a neighbourhood of  $F$ ,

$$F(z) = (z^N + o(z^N), o(z^N))$$

**Assume that  $F$  is injective** in a neighbourhood of 0 (i.e.  $F(\mathbb{D})$  has no codimension 1 singularities). For a small  $\epsilon > 0$ , set

$$K_\epsilon = F(\mathbb{D}) \cap \mathbb{S}_\epsilon^3$$



# Minimal knots

$$F : \mathbb{D} \longrightarrow \mathbb{R}^4 \text{ minimal}$$

If 0 is a critical point of  $F$ , it is a **branch point** (lowest order term is conformal): in a neighbourhood of  $F$ ,

$$F(z) = (z^N + o(z^N), o(z^N))$$

**Assume that  $F$  is injective** in a neighbourhood of 0 (i.e.  $F(\mathbb{D})$  has no codimension 1 singularities). For a small  $\epsilon > 0$ , set

$$K_\epsilon = F(\mathbb{D}) \cap \mathbb{S}_\epsilon^3$$

For  $\epsilon$  small enough, the type of the knot does not depend on  $\epsilon$ .  
There is a homeomorphism

$$\text{Cone}(\mathbb{S}_\epsilon^3, K_\epsilon) \cong (\mathbb{B}^4, F(\mathbb{D}))$$

WHO ARE THE KNOTS OF BRANCH POINTS OF MINIMAL DISKS??? CAN THEY BE **ALL THE KNOTS**??????

# Constructing the knot

RECALL --> Coordinate functions of a minimal surfaces are **harmonic**. So

Each of the 4 components of the minimal disk is a series in  $z = re^{i\theta}$  and  $\bar{z} = re^{-i\theta}$ . We truncate each component by larger and larger powers of  $r$ : as soon as we get something injective, we can stop and we have the knot type.

# Constructing the knot

RECALL --  $\triangleright$  Coordinate functions of a minimal surfaces are **harmonic**. So

Each of the 4 components of the minimal disk is a series in  $z = re^{i\theta}$  and  $\bar{z} = re^{-i\theta}$ . We truncate each component by larger and larger powers of  $r$ : as soon as we get something injective, we can stop and we have the knot type.

**SIMPLEST CASE**. We can stop at the **lowest order term** of each of the 4 components.

$$(r^N \cos(N\theta), r^N \sin(N\theta), r^p \cos(p\theta + \phi), r^q \sin(q\theta))$$

# Constructing the knot

RECALL --  $\rightarrow$  Coordinate functions of a minimal surfaces are **harmonic**. So

Each of the 4 components of the minimal disk is a series in  $z = re^{i\theta}$  and  $\bar{z} = re^{-i\theta}$ . We truncate each component by larger and larger powers of  $r$ : as soon as we get something injective, we can stop and we have the knot type.

**SIMPLEST CASE**. We can stop at the **lowest order term** of each of the 4 components.

$$(r^N \cos(N\theta), r^N \sin(N\theta), r^p \cos(p\theta + \phi), r^q \sin(q\theta))$$

- $p = q$ ,  $(N, q)$  torus knot.

# Constructing the knot

RECALL --  $\triangleright$  Coordinate functions of a minimal surfaces are **harmonic**. So

Each of the 4 components of the minimal disk is a series in  $z = re^{i\theta}$  and  $\bar{z} = re^{-i\theta}$ . We truncate each component by larger and larger powers of  $r$ : as soon as we get something injective, we can stop and we have the knot type.

**SIMPLEST CASE**. We can stop at the **lowest order term** of each of the 4 components.

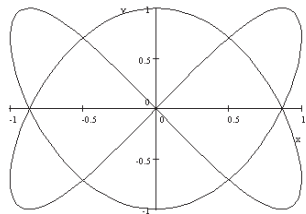
$$(r^N \cos(N\theta), r^N \sin(N\theta), r^p \cos(p\theta + \phi), r^q \sin(q\theta))$$

- $p = q$ ,  $(N, q)$  torus knot.
- $p \neq q$  **Lissajous toric knot**

# Lissajous toric knots

Lissajous curve  $C_{q,p,\phi}$  in a vertical plane

$$t \mapsto (\sin qt, \cos(p\theta + \phi))$$



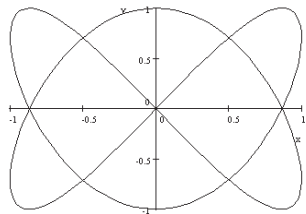
Type I:  $(\sin(2t), \cos(3t))$ ,  $0 \leq t \leq 2\pi$

[http://mathserver.neu.edu  
/bridger/U170/Lissajous/Lissajous.pdf](http://mathserver.neu.edu/bridger/U170/Lissajous/Lissajous.pdf)

# Lissajous toric knots

Lissajous curve  $C_{q,p,\phi}$  in a vertical plane

$$t \mapsto (\sin qt, \cos(p\theta + \phi))$$



Type I:  $(\sin(2t), \cos(3t))$ ,  $0 \leq t \leq 2\pi$

A particle goes

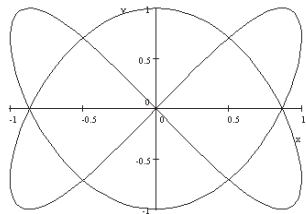
- along  $C_{q,p,\phi}$  while
- $C_{q,p,\phi}$  is rotated  $N$  times around the axis  $Oz$

<http://mathserver.neu.edu/bridger/U170/Lissajous/Lissajous.pdf>

# Lissajous toric knots

Lissajous curve  $C_{q,p,\phi}$  in a vertical plane

$$t \mapsto (\sin qt, \cos(p\theta + \phi))$$



Type I:  $(\sin(2t), \cos(3t))$ ,  $0 \leq t \leq 2\pi$

A particle goes

- along  $C_{q,p,\phi}$  while
- $C_{q,p,\phi}$  is rotated  $N$  times around the axis  $Oz$

## Proposition

Up to mirror symmetry the knot type does not depend on the phase  $\phi$ .

<http://mathserver.neu.edu/bridger/U170/Lissajous/Lissajous.pdf>



# Billiard knots in a square solid torus

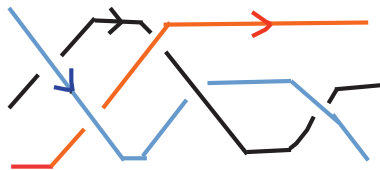
Christoph Lamm (PhD in the late 1990's, this chapter on arxiv in 2012): **billiard knots in a square solid torus**

$$V = [0, 1]^3 / (0, y, z) \cong (1, y, z)$$

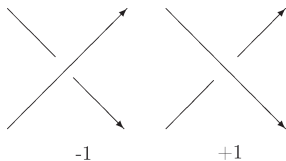




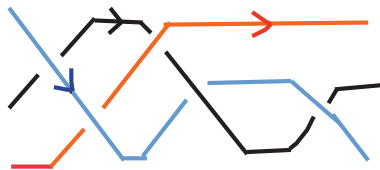
# Braids



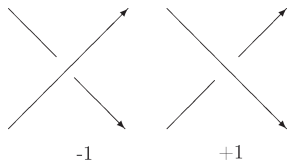
$N$  points connected by  $N$  strands. Glue the extremities together  $\implies$  get a link  
sign of the crossing points



# Braids



$N$  points connected by  $N$  strands. Glue the extremities together  $\implies$  get a link  
sign of the crossing points

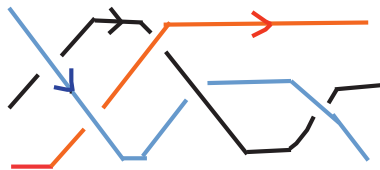


Form a group  $B_N$  **generated** by  $\sigma_1, \dots, \sigma_{N-1}$   
 $\sigma_i$  switches the  $i$ -th and  $i + 1$ -th strand **with relations**

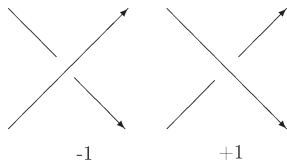
$$|i - j| \geq 2 \implies \sigma_i \sigma_j = \sigma_j \sigma_i$$

$$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$$

# Braids



$N$  points connected by  $N$  strands. Glue the extremities together  $\implies$  get a link  
sign of the crossing points



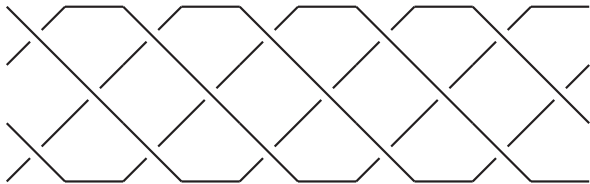
Form a group  $B_N$  **generated** by  $\sigma_1, \dots, \sigma_{N-1}$   
 $\sigma_i$  switches the  $i$ -th and  $i + 1$ -th strand **with relations**

$$|i - j| \geq 2 \implies \sigma_i \sigma_j = \sigma_j \sigma_i$$

$$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$$

$$\sigma_1 \sigma_2 \sigma_1^{-1} \sigma_2^2$$

# Braid of the $(N, q)$ torus knot



$$N = 4, q = 5$$

$$\left( \prod_{1 \leq 2i+1 \leq N-1} \sigma_{2i+1} \prod_{2 \leq 2i \leq N-1} \sigma_{2i} \right)^q$$

## The braid $B_{N,q,p}$

We work with the **knot in the 3D-cylinder**  $\mathbb{S}^1 \longrightarrow \mathbb{S}^1 \times \mathbb{R}^2$

$$e^{i\theta} \mapsto (e^{iN\theta}, \sin(q\theta), \cos(p\theta + \phi))$$

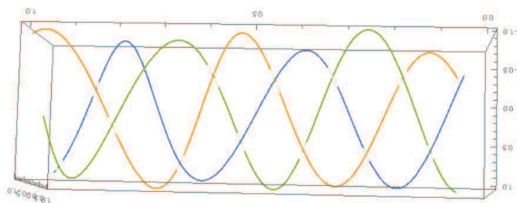


## The braid $B_{N,q,p}$

We work with the **knot in the 3D-cylinder**  $\mathbb{S}^1 \longrightarrow \mathbb{S}^1 \times \mathbb{R}^2$

$$e^{i\theta} \mapsto (e^{iN\theta}, \sin(q\theta), \cos(p\theta + \phi))$$

We derive the braid  $B_{N,q,p,\phi}$  which represents the knot  
The first 2 coordinates are the same as for torus knots



$$N = 3, q = 7, p = 5$$

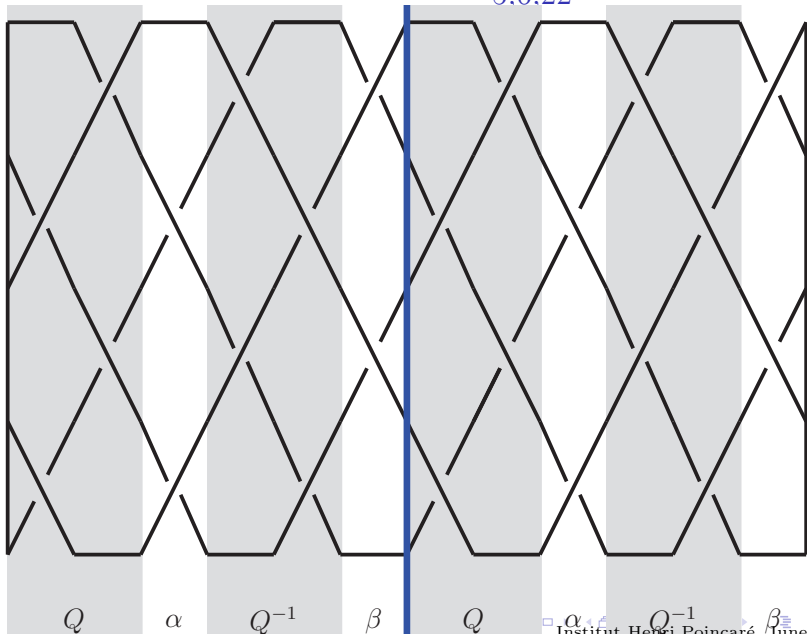
# Periodic case

$$B_{N,dq,dp} = B_{N,q,p}^d$$

$\implies$  we assume:

- the numbers  $p$  and  $q$  are mutually prime
  - $q$  is odd
- . Note: if  $d > 1$ , the knot  $K(N, q, p)$  is **periodic**.

# The braid $B_{5.6.22}$



## Theorem (stated by Lamm, Soret-V. 2016)

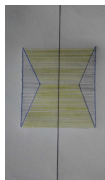
If  $p$  and  $q$  are mutually prime, then  $K(N, q, p)$  is ribbon

## Theorem (stated by Lamm, Soret-V. 2016)

If  $p$  and  $q$  are mutually prime, then  $K(N, q, p)$  is ribbon

WELL-KNOWN

FACT: If a knot in  $\mathbb{R}^3$  is symmetric w.r.t. a plane  $P$ , then it is ribbon

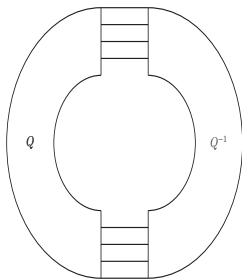
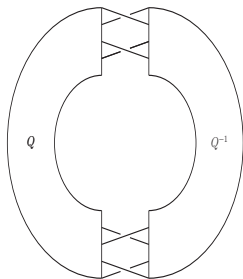
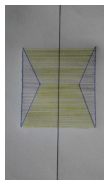


## Theorem (stated by Lamm, Soret-V. 2016)

If  $p$  and  $q$  are mutually prime, then  $K(N, q, p)$  is ribbon

WELL-KNOWN

FACT: If a knot in  $\mathbb{R}^3$  is symmetric w.r.t. a plane  $P$ , then it is ribbon



$N - 1$  half-twist tangles connecting  $Q$  and  $Q^{-1}$ ; replace them by  $N - 1$  tangles and get a  $N$ -component link  $L$  which is symmetric w.r.t. a plane and bounds  $N$  ribbon disks which intersect in ribbon singularities.

# Compare and contrast with torus knots

Proposition (Soret-V., 2016)

For  $N, q$ , mutually prime,  $K(N, q, q + N)$  is trivial.

# Compare and contrast with torus knots

## Proposition (Soret-V., 2016)

For  $N, q$ , mutually prime,  $K(N, q, q + N)$  is trivial.

$g_4(K)$  = smallest genus of a surface bounded by  $K$  in  $\mathbb{B}^4$ .

## Theorem (Kronheimer-Mrowka)

The 4-genus of the  $(N, d)$ -torus knot  $K(N, d)$  is

$$g_4(K(N, d)) = \frac{(N - 1)(d - 1)}{2}$$



# Compare and contrast with torus knots

## Proposition (Soret-V., 2016)

For  $N, q$ , mutually prime,  $K(N, q, q + N)$  is trivial.

$g_4(K)$  = smallest genus of a surface bounded by  $K$  in  $\mathbb{B}^4$ .

## Theorem (Kronheimer-Mrowka)

The 4-genus of the  $(N, d)$ -torus knot  $K(N, d)$  is

$$g_4(K(N, d)) = \frac{(N - 1)(d - 1)}{2}$$

## Proposition (Soret-V., 2016)

Let  $d = \gcd(p, q)$ . Then

$$g_4(K(N, q, p)) \leq \frac{(N - 1)(d - 1)}{2}$$

# When we need to go to the next order

Suppose the knot given by the lowest order term in each component is singular.

## When we need to go to the next order

Suppose the knot given by the lowest order term in each component is singular.

First situation: some of the  $N$  strands are fused

$$z \mapsto (z^6, z^{15})$$

Go 3 times along the  $(2, 5)$  torus knot which is a 2-strand braid.

## When we need to go to the next order

Suppose the knot given by the lowest order term in each component is singular.

First situation: some of the  $N$  strands are fused

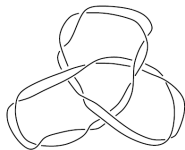
$$z \mapsto (z^6, z^{15})$$

Go 3 times along the  $(2, 5)$  torus knot which is a 2-strand braid.  
If we add a term,

$$z \mapsto (z^6, z^{15} + z^{17})$$

all 6 strands are distinct.

Cable knot: a  $(3, 17)$  torus knot around the  $(2, 5)$  torus knot inside its tubular neighbourhood.



## When we need to go to the next order

Suppose the knot given by the lowest order term in each component is singular.

First situation: some of the  $N$  strands are fused

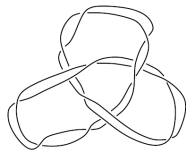
$$z \mapsto (z^6, z^{15})$$

Go 3 times along the  $(2, 5)$  torus knot which is a 2-strand braid.  
If we add a term,

$$z \mapsto (z^6, z^{15} + z^{17})$$

all 6 strands are distinct.

**Cable knot:** a  $(3, 17)$  torus knot around the  $(2, 5)$  torus knot inside its tubular neighbourhood.



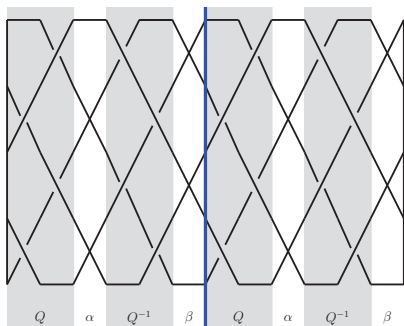
Similarly, we can cable Lissajous toric knots.

**PROBLEM:** when does the cable come from a minimal disk?

## Second situation: critical phases

Note: unlike the cabling, this has **no counterpart for algebraic knots**. Let  $(N, q) = (N, p) = 1$ .

$(e^{Ni\theta}, \sin(q\theta), \cos(p\theta + \phi))$  with singular crossing points



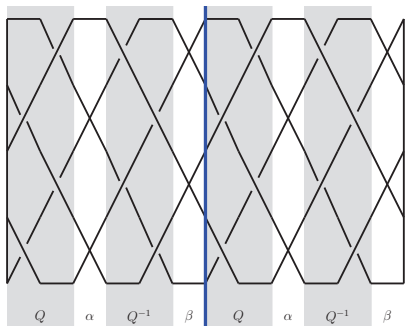
$$(r^N e^{Ni\theta}, r^q \sin(q\theta), r^p \cos(p\theta + \phi) + r^a \cos(a\theta + \beta)) \quad a > p$$

Regular points are unchanged; singular parts are replaced by  $\alpha_{N,q,a}$  and  $\beta_{N,q,a}$ .

## Second situation: critical phases

Note: unlike the cabling, this has **no counterpart for algebraic knots**. Let  $(N, q) = (N, p) = 1$ .

$(e^{Ni\theta}, \sin(q\theta), \cos(p\theta + \phi))$  with singular crossing points



$$(r^N e^{Ni\theta}, r^q \sin(q\theta), r^p \cos(p\theta + \phi) + r^a \cos(a\theta + \beta)) \quad a > p$$

Regular points are unchanged; singular parts are replaced by  $\alpha_{N,q,a}$  and  $\beta_{N,q,a}$ . We get a minimal knot. Iterate?

A problem: when we want *minimal* disks

$$(z^N, \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi}))$$



A problem: when we want *minimal* disks

$$(z^N, \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi}))$$

minimal?

A problem: when we want *minimal* disks

$$(z^N, \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi}))$$

minimal?

$$(z^N + \bar{h}(z), \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi}))$$

A problem: when we want *minimal* disks

$$(z^N, \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi}))$$

minimal?

$$\text{Let } w^N = z^N + \bar{h}(z)$$

$$w = z + o(|z|)$$

$$(z^N + \bar{h}(z), \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi}))$$

# A problem: when we want *minimal* disks

$$(z^N, \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi}))$$

minimal?

$$\text{Let } w^N = z^N + \bar{h}(z)$$

$$w = z + o(|z|)$$

$$\begin{aligned} & (z^N + \bar{h}(z), \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi})) \\ &= (w^N, \operatorname{Im}(w^q) + o(|w|^q), \operatorname{Re}(w^p e^{i\phi}) + o(|w|^p)) \end{aligned}$$

# A problem: when we want *minimal* disks

$$(z^N, \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi}))$$

minimal?

$$\text{Let } w^N = z^N + \bar{h}(z)$$

$$w = z + o(|z|)$$

$$\begin{aligned} & (z^N + \bar{h}(z), \operatorname{Im}(z^q), \operatorname{Re}(z^p e^{i\phi})) \\ &= (w^N, \operatorname{Im}(w^q) + o(|w|^q), \operatorname{Re}(w^p e^{i\phi}) + o(|w|^p)) \end{aligned}$$

CONCLUSION: if we stop at the **first order** terms, the term  $\bar{h}(z)$  does not matter; it may matter if we go to a higher order.

# Conclusion

CONJECTURE: not every knot is isotopic to a minimal knot.  
Reasons: the cosines which make up the knots have different order of magnitude, according to the rank of the term where they appear.

# Conclusion

CONJECTURE: not every knot is isotopic to a minimal knot.  
Reasons: the cosines which make up the knots have different order of magnitude, according to the rank of the term where they appear. By contrast,

# Conclusion

CONJECTURE: not every knot is isotopic to a minimal knot.  
Reasons: the cosines which make up the knots have different order of magnitude, according to the rank of the term where they appear. By contrast,

## Theorem (Soret-V., 2015)

Let  $K$  be a knot. There exist  $n_1, n_2, n_3, n_4$  integers,  $\phi, \psi, \epsilon$  rational numbers such that  $K$  is isotopic to the knot given in  $\mathbb{R}^3$  by

- $x = \cos(2\pi n_1 t)$
- $y = \cos(2\pi n_2 t + \phi) + \epsilon \cos(2\pi n_3 t + \psi)$
- $z = \cos(2\pi n_4 t + \tau)$

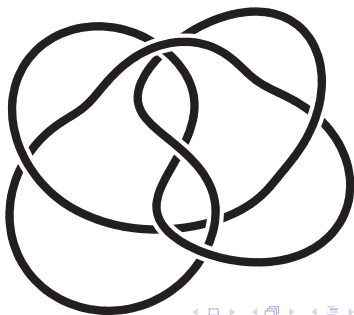


## Appendix: software

Feed the data of the braid into KnotPlot which computes the Alexander and Jones polynomial of the knot. If the crossing number is not too large, identify it in the Rohlfesen or Hoste-Thistlethwaite tables.

## Appendix: software

Feed the data of the braid into KnotPlot which computes the Alexander and Jones polynomial of the knot. If the crossing number is not too large, identify it in the Rolfsen or Hoste-Thistlethwaite tables. — — — > exemple of a non fibered prime minimal knot (Soret-V. 2011),  $9_{46}$  representing  $K(4, 13, 5)$



THANK

YOU!!